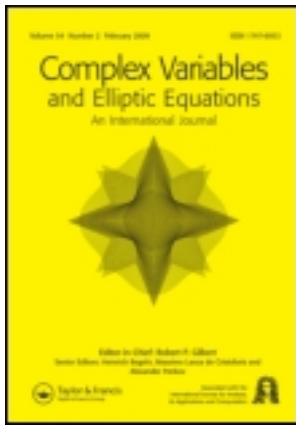


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### Necessary and sufficient conditions for univalent functions

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## Necessary and sufficient conditions for univalent functions

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Let  $\mathcal{A}$  be the class of analytic functions in the unit disc with the normalization  $f(0) = f'(0) - 1 = 0$ . This article analyses various necessary and sufficient coefficient conditions for functions  $f \in \mathcal{A}$  of the form

$$\frac{z}{f(z)} = 1 + b_1z + b_2z^2 + \dots$$

to be univalent. We present an interesting class of univalent functions associated with the zeta function and also pose an open problem.

**Keywords:** coefficient inequality; analytic; Hadamard convolution; univalent and starlike functions; zeta function

**AMS Subject Classifications:** Primary: 30C45; Secondary: 30C20, 30C75, 30C80

### 1. Introduction and main results

Let  $\mathcal{A}$  denote the collection of all analytic functions  $f$  on the unit disc  $\mathbb{D} = \{z : |z| < 1\}$  of the complex plane  $\mathbb{C}$  normalized by the conditions  $f(0) = 0 = f'(0) - 1$ , and let

$$\mathcal{S} = \{f \in \mathcal{A} : f \text{ is one-to-one in } \mathbb{D}\}.$$

For  $f \in \mathcal{A}$  and  $f(z) \neq 0$  for  $0 < |z| < 1$ , consider

$$\frac{z}{f(z)} = 1 + b_1z + b_2z^2 + \dots \quad (1)$$

Obviously, such a representation is valid for functions  $f \in \mathcal{S}$ . A wellknown area theorem [1, Theorem 11 on p. 193 of Vol. 2] shows that if  $f \in \mathcal{S}$  has the form (1), then

$$\sum_{n=2}^{\infty} (n-1)|b_n|^2 \leq 1. \quad (2)$$

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It is natural to ask whether (2) is sufficient for univalence of the corresponding  $f$ . In Theorem 1.3, we show that the condition (2) is actually not sufficient for univalence and so, the radius of univalence is obtained for  $f$  satisfying the condition (2). For our investigation, we introduce the class  $\mathcal{U}$  of all functions  $f \in \mathcal{A}$  satisfying the condition

$$|\mathcal{U}_f(z)| \leq 1, \quad \mathcal{U}_f(z) = f'(z) \left( \frac{z}{f(z)} \right)^2 - 1, \quad \text{for } z \in \mathbb{D}.$$

Functions in  $\mathcal{U}$  are known to be univalent in  $\mathbb{D}$ , see [2,3]. We refer to [4] for other related studies concerning the class  $\mathcal{U}$ . Now, we present a sufficient condition for univalence in terms of the coefficients  $b_n$  of the function  $f$ .

**THEOREM 1.1** *Let  $f \in \mathcal{A}$  and have the form (1). If  $f$  satisfies the condition*

$$\sum_{n=2}^{\infty} (n-1)|b_n| \leq 1, \quad (3)$$

then  $f \in \mathcal{U}$ . The constant 1 is the best possible in the sense that it cannot be replaced by a larger number.

*Proof* The condition (3) implying  $f \in \mathcal{U}$  is well-known [5,6] and so, it remains to prove the sharpness. Indeed, from the representation of  $f$  and the coefficient condition (3), it follows that

$$|\mathcal{U}_f(z)| = \left| -z \left( \frac{z}{f(z)} \right)' + \frac{z}{f(z)} - 1 \right| = \left| - \sum_{n=1}^{\infty} (n-1)b_n z^n \right| \leq \sum_{n=1}^{\infty} (n-1)|b_n| \leq 1,$$

which implies that  $f \in \mathcal{U}$ . The proof of the first part follows.

In order to prove the second part, it suffices to show that there exist an  $\varepsilon > 0$  and  $f$  of the form (1) such that

$$\sum_{n=2}^{\infty} (n-1)|b_n| = 1 + \varepsilon,$$

but  $f \notin \mathcal{S}$ . Now, let  $f(z) = z - az^2$ , where  $a = \frac{\sqrt{1+\varepsilon}}{1+\sqrt{1+\varepsilon}}$  with  $\varepsilon > 0$ . Then  $a \in (1/2, 1)$  and

$$\frac{z}{f(z)} = \frac{1}{1-az} = 1 + \sum_{n=1}^{\infty} b_n z^n,$$

where  $b_n = a^n$ . Thus,

$$\sum_{n=2}^{\infty} (n-1)b_n = \sum_{n=2}^{\infty} (n-1)a^n = \frac{a^2}{(1-a)^2} = 1 + \varepsilon.$$

On the other hand,  $f'(z) = 1 - 2az$  and therefore,  $f'(x_0) = 0$  at  $x_0 = \frac{1}{2a} \in (1/2, 1)$  showing that  $f$  is not univalent in the unit disc  $\mathbb{D}$ . Thus, the constant 1 in the coefficient inequality (3) is the best possible. ■

The coefficient condition (3) is only sufficient for  $f$  to be in the class  $\mathcal{U}$ , but is not a necessary condition. For instance, consider the function  $f$  given by

$$\frac{z}{f(z)} = 1 + \frac{1}{3}z^2 + \frac{\sqrt{5}}{6}iz^3 + \frac{1}{9}z^4.$$

We note that

$$\left| \frac{z}{f(z)} \right| \geq 1 - \frac{1}{3}|z|^2 \left| 1 + \frac{\sqrt{5}}{2}iz + \frac{1}{3}z^2 \right| \geq 1 - \frac{1}{3} \left( 1 + \frac{\sqrt{5}}{2} + \frac{1}{3} \right) > 0$$

and so,  $z/f(z)$  is non-vanishing in the unit disc  $\mathbb{D}$ . Also,

$$|\mathcal{U}_f(z)| = \frac{1}{3} \left| -z^2(1 + \sqrt{5}iz + z^2) \right| = \frac{1}{3} |z|^2 |z + i(\sqrt{5} + 3)/2| |z + i(\sqrt{5} - 3)/2|.$$

Next, if  $\psi(z) = 1 + \sqrt{5}iz + z^2$  then  $\psi$  is univalent in  $\mathbb{D}$  with  $\psi(0) = 1$ , and

$$\max_{|z|=1} |\psi(z)| = \max_{0 \leq \theta < 2\pi} |2 \cos \theta + \sqrt{5}i| = \max_{0 \leq \theta < 2\pi} \sqrt{4 \cos^2 \theta + 5} = 3.$$

This observation shows that  $|\mathcal{U}_f(z)| < 1$  for  $z \in \mathbb{D}$ . On the other hand,

$$\sum_{n=2}^{\infty} (n-1)|b_n| = \frac{1}{3} + \frac{\sqrt{5}}{3} + \frac{1}{3} > 1$$

and the claim is proved.

Similar to the condition (2) for  $f \in \mathcal{S}$ , one has the following necessary condition for  $f \in \mathcal{U}$ . This result has apparently appeared in [7] for a different context, but for the sake of completeness and a comparison in the sequel, we include the proof here as it is straightforward.

**THEOREM 1.2** *Let  $f \in \mathcal{U}$  have the form (1). Then  $\sum_{n=2}^{\infty} (n-1)^2 |b_n|^2 \leq 1$ .*

*Proof* The power series representation of  $f$  yields

$$|\mathcal{U}_f(z)| = \left| \sum_{n=2}^{\infty} (n-1)b_n z^n \right| \leq 1, \quad z \in \mathbb{D}.$$

Letting  $z = re^{i\theta}$  for  $r \in (0, 1)$  and  $0 \leq \theta \leq 2\pi$ , the last inequality gives

$$\sum_{n=2}^{\infty} (n-1)^2 |b_n|^2 r^{2n} = \frac{1}{2\pi} \int_0^{2\pi} \left| \sum_{n=2}^{\infty} (n-1)b_n z^n \right|^2 d\theta \leq 1.$$

The desired inequality now follows by letting  $r \rightarrow 1^-$ . ■

**THEOREM 1.3** *Let  $f \in \mathcal{A}$  and has the form (1). If  $f$  satisfies the condition (2), then  $f$  is univalent in the disc  $|z| < r_0 = \frac{1}{\sqrt{2}}$ , and the radius is the best possible.*

*Proof* Consider the function  $g$  defined by  $g(z) = r^{-1}f(rz)$ , where  $0 < r \leq 1$ . Then

$$\frac{z}{g(z)} = 1 + \sum_{n=1}^{\infty} b_n r^n z^n.$$

The Cauchy–Schwarz inequality yields

$$\begin{aligned} \sum_{n=2}^{\infty} (n-1)|b_n|r^n &\leq \left( \sum_{n=2}^{\infty} (n-1)|b_n|^2 \right)^{\frac{1}{2}} \left( \sum_{n=2}^{\infty} (n-1)r^{2n} \right)^{\frac{1}{2}} \\ &\leq \left( \sum_{n=2}^{\infty} (n-1)r^{2n} \right)^{\frac{1}{2}} = \frac{r^2}{1-r^2}, \end{aligned}$$

and since  $r^2/(1-r^2) \leq 1$  for  $0 < r \leq r_0 = \frac{1}{\sqrt{2}}$ , it follows from Theorem 1.1 that  $g \in \mathcal{U}$  (and hence univalent) in  $\mathbb{D}$ . This means that  $f$  is univalent in the disc  $|z| < r_0$ .

In order to prove that the radius of the disc is best possible, consider the function

$$f_0(z) = z - r_0 z^2, \quad r_0 = \frac{1}{\sqrt{2}}.$$

For this function,

$$\frac{z}{f_0(z)} = \frac{1}{1 - r_0 z} = 1 + \sum_{n=1}^{\infty} r_0^n z^n$$

and therefore, with  $b_n = r_0^n$ ,

$$\sum_{n=2}^{\infty} (n-1)|b_n|^2 = \sum_{n=2}^{\infty} (n-1) \left( \frac{1}{2} \right)^n = 1.$$

On the other hand,  $\operatorname{Re} f_0'(z) = \operatorname{Re}(1 - \sqrt{2}z) > 0$  for  $|z| < r_0 = \frac{1}{\sqrt{2}}$ , and  $f_0'(r_0) = 0$  showing that  $f_0$  is not univalent in any larger disc. ■

**THEOREM 1.4** *Let  $f \in \mathcal{A}$  and has the form (1). If  $f$  satisfies the condition*

$$\sum_{n=2}^{\infty} (n-1)^2 |b_n|^2 \leq 1,$$

*then the function  $g$ , defined by  $g(z) = r^{-1}f(rz)$ , belongs to  $\mathcal{U}$  for  $0 < r \leq r_0 = \sqrt{\frac{\sqrt{5}-1}{2}} \approx 0.78615$ . In particular,  $f$  is univalent in the disc  $|z| < r_0$  and the result is best possible.*

*Proof* As  $g$  has the form

$$\frac{z}{g(z)} = \frac{rz}{f(rz)} = 1 + \sum_{n=1}^{\infty} b_n r^n z^n,$$

it follows that

$$\sum_{n=2}^{\infty} (n-1)|b_n|r^n \leq \left( \sum_{n=2}^{\infty} (n-1)^2 |b_n|^2 \right)^{\frac{1}{2}} \left( \sum_{n=2}^{\infty} r^{2n} \right)^{\frac{1}{2}} \leq \frac{r^2}{\sqrt{1-r^2}},$$

which is less than or equal to 1 if  $r^4 + r^2 - 1 \leq 0$ , i.e. if  $0 < r \leq r_0 = \sqrt{\frac{\sqrt{5}-1}{2}}$ . This gives the desired conclusion.

To prove sharpness, consider the function  $f_0$  defined by

$$\frac{z}{f_0(z)} = 1 + \sum_{n=2}^{\infty} \frac{r_0^n}{n-1} z^n = 1 - r_0 z \log(1 - r_0 z).$$

It is easy to see that  $\operatorname{Re}(z/f_0(z)) > 0$  for  $z \in \mathbb{D}$  showing that  $f_0(z) \neq 0$  for  $0 < |z| < 1$ . Now,

$$\sum_{n=2}^{\infty} (n-1)^2 |b_n|^2 = \sum_{n=2}^{\infty} (n-1)^2 \frac{r_0^{2n}}{(n-1)^2} = \frac{r_0^4}{1-r_0^2} = 1.$$

On the other hand, for  $|z| < r_0$ , we see that

$$\left| \left( \frac{z}{f_0(z)} \right)^2 f_0'(z) - 1 \right| = \left| \frac{-r_0^2 z^2}{1-r_0 z} \right| < \frac{r_0^4}{1-r_0^2} = 1,$$

while for  $r_0 < z = r < 1$ :

$$\left| \left( \frac{z}{f_0(z)} \right)^2 f_0'(z) - 1 \right|_{z=r} = \frac{r_0^2 r^2}{1-r_0 r} > 1.$$

It follows that  $g_0$  defined by  $g_0(z) = r^{-1} f_0(rz)$  belongs to  $\mathcal{U}$ . That is,  $|\mathcal{U}_f(z)| \leq 1$  holds in the disc  $|z| < r_0$ , but not in a larger one. Since

$$f_0'(z) = \frac{1 - r_0 z - r_0^2 z^2}{(1 - r_0 z)(1 - r_0 z \log(1 - r_0 z))^2}$$

and  $f_0'(r_0) = 0$ , then  $f_0$  is not univalent in a larger disc than  $|z| < r_0$ . ■

The above results can be extended to many general situations (see [8] and the references therein). For example to the class  $\mathcal{U}(\lambda)$  of all functions  $f \in \mathcal{A}$  in  $\mathbb{D}$  satisfying the condition

$$|\mathcal{U}_f(z)| \leq \lambda \quad \text{for } z \in \mathbb{D},$$

and for some  $\lambda \in (0, 1]$ . As  $\mathcal{U}(\lambda) \subset \mathcal{U} \subset \mathcal{S}$ , functions in  $\mathcal{U}(\lambda)$  are univalent in  $\mathbb{D}$ . The restriction on  $\lambda$  implies that functions in  $\mathcal{U}(\lambda)$  are starlike in  $\mathbb{D}$ . Here a function  $f \in \mathcal{A}$  is starlike (with respect to 0), denoted by  $f \in \mathcal{S}^*$ , if  $tw \in f(\mathbb{D})$  whenever  $w \in f(\mathbb{D})$  and  $t \in [0, 1]$ . The analytic conditions for starlikeness of  $f \in \mathcal{S}$  can be written in the form

$$\operatorname{Re} \left( \frac{zf'(z)}{f(z)} \right) > 0, \quad z \in \mathbb{D}.$$

It is worth pointing out that functions in the collection

$$\mathcal{L} = \left\{ z, \frac{z}{(1 \pm z)^2}, \frac{z}{1 \pm z}, \frac{z}{1 \pm z^2}, \frac{z}{1 \pm z + z^2} \right\}$$

are contained in  $\mathcal{U} \cap \mathcal{S}^*$ , and each function plays an important role in function theory, especially when considering the corresponding families  $\mathcal{L}_g$  of close-to-convex

functions  $f$  satisfying the condition

$$\operatorname{Re}\left(\frac{zf'(z)}{g(z)}\right) > 0, \quad z \in \mathbb{D}$$

with  $g \in \mathcal{L}$ . To state our next result, let us recall the following result:

**THEOREM A [8]** Any function  $f(z) := z + \sum_{n=2}^{\infty} a_n(f)z^n \in \mathcal{A}$  satisfying

$$|\mathcal{U}_f(z)| < \frac{-|a_2(f)| + \sqrt{2 - |a_2(f)|^2}}{2}, \quad |z| < 1,$$

belongs to  $\mathcal{S}^*$ . Moreover, there exists a non-starlike function  $f$  in  $\mathcal{U}$  such that

$$0 < \frac{-|a_2(f)| + \sqrt{2 - |a_2(f)|^2}}{2} < \sup_{|z| < 1} |\mathcal{U}_f(z)| \leq 1 - |a_2(f)|.$$

We remark that although  $\mathcal{U} \subset \mathcal{S}$ , functions in  $\mathcal{S}$  are not necessarily in  $\mathcal{U}$ . Thus, it is natural to consider some subsets of  $\mathcal{S}$  which are included in  $\mathcal{U}$ . Our next result fulfils this aim.

**THEOREM 1.5** Let  $f \in \mathcal{A}$  satisfy the condition

$$\left| \frac{zf'(z)}{f(z)} - 1 \right| < \lambda, \quad z \in \mathbb{D}, \quad (4)$$

for some  $0 < \lambda \leq 1$ . Then

- (1)  $|\frac{z}{f(z)} - 1| < e^\lambda - 1$ ,  $z \in \mathbb{D}$ . (Note that  $e^\lambda - 1 \leq 1$  whenever  $0 < \lambda \leq \log 2$ .)
- (2)  $f \in \mathcal{U}(\lambda')$ ,  $\lambda' = (1 + \lambda)e^\lambda - 1$ .
- (3) (Note that  $\lambda' \leq 1$  whenever  $0 < \lambda \leq \lambda_0 \approx 0.374823$ , where  $\lambda_0$  is the root of the equation  $(1 + \lambda)e^\lambda = 2$ .)

In particular, if  $f \in \mathcal{A}$  and  $0 < \lambda \leq \lambda_0 \approx 0.374823$ , then the following implications holds:

$$\left| \frac{zf'(z)}{f(z)} - 1 \right| < \lambda \implies f \in \mathcal{U}.$$

*Proof* Set  $p(z) = f'(z)/z$ . Then  $p$  is analytic in  $\mathbb{D}$ ,  $p(0) = 1$  and so, condition (4) is equivalent to

$$\frac{zp'(z)}{p(z)} = \frac{zf'(z)}{f(z)} - 1 \prec \lambda z, \quad z \in \mathbb{D},$$

where  $\prec$  denotes subordination and  $p(z)$  is non-vanishing in  $\mathbb{D}$ . We can write

$$\frac{zp'(z)}{p(z)} = \lambda W(z), \quad z \in \mathbb{D},$$

where  $W$  is analytic in  $\mathbb{D}$ ,  $W(0) = 0$ , and  $|W(z)| < 1$  for  $z \in \mathbb{D}$ . Therefore,

$$\int_0^z \frac{p'(s)}{p(s)} ds = \lambda \int_0^z \frac{W(s)}{s} ds, \quad z \in \mathbb{D},$$

from which we obtain

$$\log p(z) = \lambda \int_0^1 \frac{W(tz)}{t} dt$$

so that  $p(z) = \exp(\lambda\omega(z))$ , where

$$\omega(z) = \int_0^1 \frac{W(tz)}{t} dt$$

and  $\omega$  is clearly analytic in  $\mathbb{D}$ ,  $\omega(0) = 0$ , and  $|\omega(z)| < 1$  for  $z \in \mathbb{D}$ . Therefore,

$$\frac{1}{p(z)} = \frac{z}{f(z)} = \exp(-\lambda\omega(z)), \quad z \in \mathbb{D}.$$

Also,

$$\left| \frac{z}{f(z)} - 1 \right| \leq \exp(\lambda|\omega(z)|) - 1 \leq \exp(\lambda|z|) - 1 < \exp(\lambda) - 1$$

and the desired conclusion in part (1) follows.

For the proof of part (2), it suffices to observe that

$$\begin{aligned} \left| f'(z) \left( \frac{z}{f(z)} \right)^2 - 1 \right| &= \left| \frac{zf'(z)}{f(z)} \left( \frac{z}{f(z)} - 1 \right) + \frac{zf'(z)}{f(z)} - 1 \right| \\ &\leq \left| \frac{zf'(z)}{f(z)} \right| \left| \frac{z}{f(z)} - 1 \right| + \left| \frac{zf'(z)}{f(z)} - 1 \right| \\ &< (1 + \lambda)(e^\lambda - 1) + \lambda = (1 + \lambda)e^\lambda - 1, \end{aligned}$$

and the desired conclusion follows. ■

**THEOREM 1.6** Let  $\zeta(\sigma) = \sum_{n=1}^\infty n^{-\sigma}$ ,  $\phi(\sigma) = 2\zeta(2\sigma) - \zeta(2\sigma - 1)$  and

$$\psi(\sigma) = \frac{1}{2^{4\sigma+1}} + \zeta(2\sigma + 1) - 1 - \frac{1}{2^{2\sigma+1}}.$$

Assume that  $\sigma_0$  is such that  $\phi(\sigma_0) > 0$ , and  $C = C(\sigma_0) > 0$  satisfies the condition

$$\psi(\sigma_0) \leq C \quad \text{and} \quad C \leq \frac{(2^{\sigma_0-1} - 1)^2 - 3^{-2\sigma_0}}{2^{2(\sigma_0-1)}}. \tag{5}$$

Let  $f \in \mathcal{S}$  and define  $F_\sigma$  by

$$\frac{z}{F_\sigma(z)} = \frac{z}{f(z)} \star \frac{\text{Li}_\sigma(z)}{z},$$

where  $\text{Li}_\sigma(z) = \sum_{n=1}^\infty \frac{z^n}{n^\sigma}$  and  $\star$  denotes the usual convolution/Hadamard product of two convergent power series. Then  $F_\sigma \in \mathcal{U}$  for  $\sigma \geq \sigma_0$ .

*Proof* Let  $f \in \mathcal{S}$  and set

$$\frac{z}{f(z)} = 1 + b_1z + b_2z^2 + \dots \tag{6}$$



so that  $z/F_\sigma(z)$  takes the form

$$\frac{z}{F_\sigma(z)} = 1 + \sum_{n=1}^{\infty} \frac{b_n}{(n+1)^\sigma} z^n.$$

The well-known area theorem [1, Theorem 11 on p. 193 of Vol. 2] gives

$$\sum_{n=2}^{\infty} (n-1)|b_n|^2 \leq 1, \tag{7}$$

and, the Cauchy–Schwarz inequality yields

$$\sum_{n=2}^{\infty} (n-1) \frac{|b_n|}{(n+1)^\sigma} \leq \left( \sum_{n=2}^{\infty} (n-1)|b_n|^2 \right)^{\frac{1}{2}} \left( \sum_{n=2}^{\infty} \frac{n-1}{(n+1)^{2\sigma}} \right)^{\frac{1}{2}}. \tag{8}$$

Now,

$$\begin{aligned} \sum_{n=2}^{\infty} \frac{n-1}{(n+1)^{2\sigma}} &= \sum_{n=2}^{\infty} \frac{1}{(n+1)^{2\sigma-1}} - 2 \sum_{n=2}^{\infty} \frac{1}{(n+1)^{2\sigma}} \\ &= \zeta(2\sigma-1) - 1 - \frac{1}{2^{2\sigma-1}} - 2 \left( \zeta(2\sigma) - 1 - \frac{1}{2^{2\sigma}} \right) \\ &= 1 - \phi(\sigma). \end{aligned} \tag{9}$$

By hypothesis,  $\phi(\sigma) \geq \phi(\sigma_0) > 0$  for  $\sigma \geq \sigma_0$ . Consequently, using (7) and (9), (8) implies that

$$\sum_{n=2}^{\infty} (n-1) \frac{|b_n|}{(n+1)^\sigma} \leq 1 \quad \text{for } \sigma \geq \sigma_0.$$

It is worth pointing out that for the quantity  $U_{F_\sigma}(z)$  to be well-defined, we need to show that  $\frac{z}{F_\sigma(z)} \neq 0$  in  $\mathbb{D}$ . Thus, by Theorem 1.1 and the last coefficient inequality,  $F_\sigma \in \mathcal{U}$  if  $\frac{z}{F_\sigma(z)} \neq 0$  for every  $z \in \mathbb{D}$ . In order to verify the non-vanishing condition, we use the representation of  $\frac{z}{F_\sigma(z)}$  and obtain

$$\left| \frac{z}{F_\sigma(z)} \right| \geq 1 - \sum_{n=1}^{\infty} \frac{|b_n| |z|^n}{(n+1)^\sigma} > 1 - \frac{|b_1|}{2^\sigma} - \frac{|b_2|}{3^\sigma} - \sum_{n=3}^{\infty} \frac{|b_n|}{(n+1)^\sigma}. \tag{10}$$

Clearly it suffices to show that  $\frac{z}{F_\sigma(z)} \neq 0$  only for  $\sigma = \sigma_0$ . In view of this observation, we first observe that

$$\begin{aligned} \sum_{n=3}^{\infty} \frac{|b_n|}{(n+1)^{\sigma_0}} &\leq \left( \sum_{n=3}^{\infty} (n-1)|b_n|^2 \right)^{\frac{1}{2}} \left( \sum_{n=3}^{\infty} \frac{1}{(n-1)(n+1)^{2\sigma_0}} \right)^{\frac{1}{2}} \\ &< \sqrt{1 - |b_2|^2} \left( \frac{1}{2 \cdot 4^{2\sigma_0}} + \sum_{n=4}^{\infty} \frac{1}{(n-1)^{2\sigma_0+1}} \right)^{\frac{1}{2}} \\ &= \sqrt{1 - |b_2|^2} \sqrt{\frac{1}{2^{4\sigma_0+1}} + \zeta(2\sigma_0 + 1) - 1 - \frac{1}{2^{2\sigma_0+1}}} \\ &= \sqrt{1 - |b_2|^2} \sqrt{\psi(\sigma_0)} \\ &\leq \sqrt{C} \sqrt{1 - |b_2|^2}, \end{aligned}$$

where the last inequality is a consequence of the first condition in (5). Since  $|b_1| = |-f''(0)/2| \leq 2$  for each  $f \in \mathcal{S}$ , it follows from (10) that

$$\left| \frac{z}{F_{\sigma_0}(z)} \right| > 1 - \frac{1}{2^{\sigma_0-1}} - \frac{|b_2|}{3^{\sigma_0}} - \sqrt{C(1 - |b_2|^2)}.$$

Moreover, (7) implies that  $|b_2| \leq 1$ . Let

$$g(x) = \frac{x}{3^{\sigma_0}} + \sqrt{C(1 - x^2)} \quad \text{for } 0 \leq x \leq 1.$$

It is a simple exercise to see that  $g$  has its maximum value of

$$g(x_0) = \frac{\sqrt{1 + 3^{2\sigma_0}C}}{3^{\sigma_0}}$$

at the point  $x_0 = 1/\sqrt{1 + 3^{2\sigma_0}C}$ . In view of this observation

$$\left| \frac{z}{F_{\sigma_0}(z)} \right| > 1 - \frac{1}{2^{\sigma_0-1}} - \frac{\sqrt{1 + 3^{2\sigma_0}C}}{3^{\sigma_0}},$$

which is non-negative whenever  $C$  and  $\sigma_0$  are related by the second condition in (5). Finally, the condition  $\frac{z}{F_{\sigma_0}(z)} \neq 0$  holds in  $\mathbb{D}$  under the hypothesis. Thus,  $F_\sigma$  belongs to  $\mathcal{U}$  for all  $\sigma \geq \sigma_0$ , and this completes the proof. ■

If  $f \in \mathcal{S}$  has the form (6) with  $b_1 = 0$ , then the range of  $\sigma$  can be extended. However, we can quickly obtain the following corollary.

**COROLLARY 1.7** *Let  $f \in \mathcal{S}$  and define  $F_\sigma$  by*

$$\frac{z}{F_\sigma(z)} = \frac{z}{f(z)} \star \frac{\text{Li}_\sigma(z)}{z},$$

where  $\text{Li}_\sigma(z) = \sum_{n=1}^\infty \frac{z^n}{n^\sigma}$ . Then for  $\sigma \geq 3/2$ ,  $F_\sigma \in \mathcal{U}$ , and hence  $F_\sigma$  is univalent in  $\mathbb{D}$ .

*Proof* Set  $\sigma_0 = 3/2$  in Theorem 1.6. Then,  $\zeta(3) \approx 1.20206$ , and

$$\phi(3/2) = 2\zeta(3) - \zeta(2) = 2\zeta(3) - \frac{\pi^2}{6} \approx 0.75918,$$

$$\psi(3/2) = \zeta(4) - \frac{135}{128} = \frac{\pi^4}{90} - \frac{135}{128} \approx 0.0276357.$$

With  $C = \psi(3/2)$ , we find that

$$1 - \frac{1}{\sqrt{2}} - \frac{\sqrt{1 + 27C}}{3 \times \sqrt{3}} \approx 0.0385848,$$

and thus, all the required conditions of Theorem 1.6 are satisfied with  $\sigma_0 = 3/2$ . ■

We conclude this article with an open problem.

*Problem* Determine the smallest value of  $\sigma$  so that  $F_\sigma$  is either in  $\mathcal{U}$  or in  $\mathcal{S}$ .

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